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PATENT  
Attorney Docket No.: 019281-001610US



Assistant Commissioner for Patents  
Washington, D.C. 20231

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On 2/21/02  
TOWNSEND and TOWNSEND and CREW LLP  
By: Barbara Hard

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

John J. Sie et al.

Application No.: 09/877,317

Filed: June 8, 2001

For: PRE-STORING MULTIPLE  
PROGRAMS WITH USER CONTROL  
OF PLAYBACK

Examiner:

Art Unit:

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

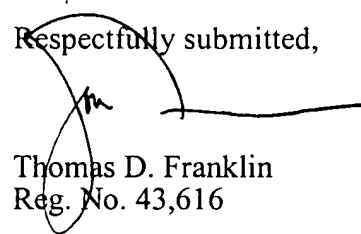
The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Thomas D. Franklin  
Reg. No. 43,616

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PTO/SB/08A (08-00)

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| Substitute for form 1449A/PTO   |                        | <b>Complete if Known</b> |              |
| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary) |                        | Application Number       | 09/877,317   |
|   |                        | Filing Date              | June 8, 2001 |
|   |                        | First Named Inventor     | Sie, John J. |
|   |                        | Group Art Unit           |              |
|   |                        | Examiner Name            |              |
| Sheet 1 of 1  | Attorney Docket Number | 019281-001610US          |              |

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| U.S. PATENT DOCUMENTS          |                       |                      |                                   |   |  |   |
|--------------------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | U.S. Patent Document |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                                |                       | Number               | Kind Code <sup>2</sup> (if known) |   |  |   |
|                                | AA                    | 6,314,572            | B1                                | LaRocca, et al.                                 | 11-06-2001                                       |   |
|                                | AB                    | 6,314,573            | B1                                | Gordon, et al.                                  | 11-06-2001                                       |   |
|                                | AC                    | 6,327,418            | B1                                | Barton  | 12-04-2001                                       |   |
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|                                |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |   |  |   |
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| Examiner Signature | Date Considered |
|--------------------|-----------------|

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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FORM

(to be used for all correspondence after initial filing)

|  |                 |
|--|-----------------|
| Application Number                       | 09/877,317      |
| Filing Date                              | June 8, 2001    |
| First Name of Inventor                   | Sie, John J.    |
| Group Art Unit                           |                 |
| Examiner Name                            |                 |
| Attorney Docket Number                   | 019281-001610US |
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- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
1. Transmittal Form 2. PTO/SB 08A w/3 attached references 3. Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                          |  |                 |
|--------------------------|--|-----------------|
| Firm and Individual name | Townsend and Townsend and Crew LLP<br>Thomas D. Franklin | Reg. No. 43,616 |
| Signature                |  |                 |
| Date                     | February 21, 2002  |                 |

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| Typed or printed name | Barbara Ward |
| Signature             |              |
| Date                  | 2/21/02      |

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